

متطلبات فتح الحساب الإستثماري

Investment Account Check List



Emirates NBD Capital KSA CJSC – CR# 1010248476 - CMA License No. 07086-37

Customer's Name		اسم العميل
Required Documents		
<ul style="list-style-type: none">Investment Account Application (All Clients)FATCA & CRS (All Clients)AML/KYC Questionnaire (Financial Institutions Only)		<ul style="list-style-type: none">Investment Management Agreement (DPMs & NDPMs)Portfolio Specification (DPMs & NDPMs)Financial Assessment (AM Clients)
Required Supporting Documents		
<ul style="list-style-type: none">Saudi & GCC Companies<ul style="list-style-type: none">Permission to invest in securities (non-listed companies)A copy of Commercial RegistrationA copy of the Articles of association, or the memorandum of association and their annexes, and any amendments;A copy of the identification card of the manager in chargeA copy of the Board resolution evidencing the approval of the opening of the account and conferring Authorization on the signatories;A List of the persons authorized who are qualified to deal with the accounts, pursuant to what is provided for in the commercial register, and a copy of the identification card of each.A List of all company's owners whose names are included in the memorandum of association and a copy of identification card of each (non-listed companies)Any licenses issued for the company by any government entity to conduct certain activities		
<ul style="list-style-type: none">Saudi Citizens:<ul style="list-style-type: none">A copy of valid Saudi or Family ID cardForeign Residents in KSA<ul style="list-style-type: none">Foreign Residents Carrying a Residence Permit (Iqama) or Resident Identity	<ul style="list-style-type: none">GCC Citizens:<ul style="list-style-type: none">A copy of valid passport or national ID cardMembers of the Diplomatic Missions in the Kingdom<ul style="list-style-type: none">An identification letter from the member's embassy and the member's valid diplomatic identity card information	
Client Relations:		
Name:	Signature:	Date
Compliance:		
Required document submitted <input type="checkbox"/> Yes <input type="checkbox"/> No	Compliance Officer's Name:	
Sanctioned list Checked (World Check Report) <input type="checkbox"/> Yes <input type="checkbox"/> No	Signature:	
CMA Blacklist Checked <input type="checkbox"/> Yes <input type="checkbox"/> No	Date:	
Operations:		
Portfolio No.:	Processor's Name :	Signature:
Customer No.:	Supervisor's Name:	Signature:

